

1 **Caloric restriction and exercise “mimetics”: ready for prime time?**

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9

10 **Abstract**

11

12 Exercise and diet are powerful interventions to prevent and ameliorate various pathologies. The  
13 development of pharmacological agents that confer exercise- or caloric restriction-like phenotypic  
14 effects is thus an appealing therapeutic strategy in diseases or even when used as life-style and  
15 longevity drugs. Such so-called exercise or caloric restriction “mimetics” have so far mostly been  
16 described in pre-clinical, experimental settings with limited translation into humans. Interestingly,  
17 many of these compounds activate related signaling pathways, most often postulated to act on the  
18 common downstream effector peroxisome proliferator-activated receptor  $\gamma$  coactivator 1 $\alpha$  (PGC-1 $\alpha$ )  
19 in skeletal muscle. In this review, resveratrol and other exercise- and caloric restriction “mimetics”  
20 are discussed with a special focus on feasibility, chances and limitations of using such compounds in  
21 patients as well as in healthy individuals.

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27 **Keywords:** skeletal muscle; exercise; mimetics; resveratrol; PGC-1 $\alpha$ ; AMPK; PPAR $\beta/\delta$ ; caloric  
28 restriction; diet

29

30 **Chemical compounds discussed in this article:** AICAR (PubChem CID 266934); Celastrol (PubChem  
31 CID 122724); (-)-Epicatechin (PubChem CID 72276); GSK4716 (PubChem CID 5399376); GW1516  
32 (PubChem ID 9803963); Metformin (PubChem CID 4091); Nicotinamide riboside (PubChem CID  
33 439924); Rapamycin (PubChem CID 5040); Resveratrol (PubChem CID 445154); SR9009 (PubChem ID  
34 57394020); SRT1720 (PubChem ID 25232708); Ursolic acid (PubChem CID 64945)

35

36 **Abbreviations:** ActRIIB, activin receptor type IIB; AICAR, 5-Aminoimidazole-4-carboxamide  
37 ribonucleotide; AMPK, AMP-activated protein kinase; BAIBA,  $\beta$ -aminoisobutyric acid; ERR $\gamma$ , estrogen-  
38 related receptor  $\gamma$ ; FGF21, fibroblast growth factor 21; HSF1, heat shock factor 1; MOTS-c,  
39 mitochondrial open reading frame of the 12S rRNA-c; mTOR, mammalian target of rapamycin; PGC-  
40 1 $\alpha$ , peroxisome proliferator-activated receptor  $\gamma$  coactivator 1 $\alpha$ ; PPAR $\beta/\delta$ , peroxisome proliferator-  
41 activated receptor  $\beta/\delta$ ; SARM, selective androgen receptor modulator; SIRT1, sirtuin 1

42

43

## 44 1. Introduction

45

46 The increasingly sedentary life-style and a historically unprecedented access to excess high caloric  
47 food in Western societies strongly drive an epidemic rise in many pathologies, including obesity,  
48 cardiovascular disorders, metabolic syndrome, and other chronic diseases [1]. Surprisingly, physical  
49 inactivity is also associated with a higher risk for other diseases that lack an obvious link to skeletal  
50 muscle, such as certain types of cancer, neurodegeneration and mood disorders [2]. The incidence  
51 rates of most of these chronic diseases are further exacerbated by the dramatic increase in life  
52 expectancy in developed countries [3]. Likewise, old age is strongly linked to sarcopenia, muscle  
53 wasting in aging. With an increasing geriatric population, a pathological threshold for sarcopenia-  
54 associated problems is reached in more and more individuals, in particular in those not engaging in  
55 regular physical activity [4]. Collectively, the lifestyle and expectancy in Western societies thereby  
56 result in an enormous burden on health care systems and costs [5].

57 Importantly, in addition to the older segments of the population, lifestyle-associated diseases are  
58 also on the rise in young individuals at an alarming rate [6]: for example, type 2 diabetes, classically  
59 referred to as adult-onset diabetes, is now much more commonly diagnosed in children compared to  
60 type 1, so-called juvenile diabetes. This development is closely correlated to increased rates of  
61 childhood obesity and hypertension. While it is difficult to extrapolate the impact of this increased  
62 incidence of chronic diseases in children and young adults, it has been speculated that this wave in  
63 childhood obesity could lead to a slowdown or even a decline in life expectancy in Western societies  
64 [7].

65

### 66 1.1. Treatment of life style-associated chronic diseases: pharmacology, caloric restriction and 67 exercise

68 From an economic point of view, lifestyle-associated pathologies are extremely attractive for drug  
69 development: these diseases affect a huge number of patients, and would necessitate a prolonged  
70 intake of pharmacological agents for prevention and treatment over years and decades. It thus  
71 seems surprising that for many of these diseases, only a handful of drugs are available, often with  
72 limited efficacy in monotherapies. For example, weight loss triggered by the four obesity drugs that  
73 are currently approved by the FDA is limited and plateaus after prolonged application [8]. In contrast,  
74 lifestyle-based interventions combining exercise and diet have an enormous potential to prevent and  
75 treat numerous chronic pathologies, in some cases even rivaling or surpassing the efficacy of drugs  
76 [9,10]. Since exercise triggers many different plastic changes in skeletal muscle and beyond [11,12],  
77 the mechanisms underlying the therapeutic effect of physical activity remain mysterious.  
78 Nevertheless, physical activity increases healthspan and life expectancy in humans, at least in  
79 epidemiological correlations [13,14]. Dietary measures have varied over the last decades, shifting the  
80 focus from lipids to proteins to carbohydrates and back. The most consistent effects however are  
81 based on a general moderate reduction of caloric intake in a well-balanced diet. A more extreme  
82 form, caloric restriction, has even been reported as one of the most powerful methods to prevent  
83 age-related diseases and improve longevity in different organisms ranging from yeast, the worm *C.*  
84 *elegans*, the fruit fly *D. melanogaster* to rodents and potentially primates [15]. Intriguingly, exercise  
85 and caloric restriction result in an overlapping phenotypic outcome e.g. in terms of mitochondrial

86 function and oxidative metabolism, reduction of reactive oxygen species, DNA stability or autophagy  
87 even though energy metabolism is affected in a diametrically opposite manner and the outcome on  
88 muscle function and body weight differ dramatically (Fig. 1). However, while the health benefits of  
89 exercise are widely accepted [14,16,17], the effects of caloric restriction are under debate. At least in  
90 certain settings, caloric restriction fails to affect lifespan, or might even have a negative effect, for  
91 example in different mouse strains and different types of diets [18,19]. Reduced caloric intake often  
92 is associated with a dormant stage accompanied by reduced fertility and reproduction, e.g. spores in  
93 bacteria and fungi, Dauer larvae in *C. elegans* or torpor in mice [20]. All of these processes are of little  
94 physiological relevance in humans where reduced fertility mostly occurs with starvation and is likely  
95 uncoupled from longevity. Moreover, while health benefits have been observed upon caloric  
96 restriction in studies in rodents and primates, it is conceivable that the relative amelioration by  
97 caloric restriction is at least in part due to the metabolic deterioration in the *ad libitum* fed control  
98 groups [19]. In particular in caloric restriction studies in rhesus monkeys, this confounding aspect  
99 might have contributed to the somewhat conflicting results [21,22]. Thus, the outcome of caloric  
100 restriction on human health and life expectancy is difficult to extrapolate at the moment and variants  
101 of this approach in the form of intermittent fasting [23] or even time-restricted feeding without an  
102 overall reduction in caloric intake [24] are being tested.

103 Even though exercise and diet have been strongly linked to the prevention and treatment of different  
104 chronic diseases, compliance levels for both interventions in patients and healthy individuals are low.  
105 Caloric restriction studies often use a 30% reduction in caloric intake to achieve health benefits in  
106 animal studies. In humans, it is not clear what the baseline in caloric intake should be; regardless, a  
107 reduction of 30% would constitute a massive intervention. Exercise regimes are hampered by poor  
108 physical conditions (e.g. obesity), lack of time and motivation, depression as well as other factors  
109 [25]. Moreover, some patients are exercise intolerant, e.g. those suffering from chronic heart failure  
110 [26]. Thus, to overcome limitations in the application of caloric restriction and exercise in patients,  
111 pharmacological approaches to elicit the beneficial effects of these two interventions have been  
112 proposed in the form of caloric restriction and exercise “mimetics” [15,27].

113

## 114 **2. Caloric restriction and exercise “mimetics”**

115

116 The concept of designing pharmacological agents that engage the same or at least similar biological  
117 programs as *bona fide* training was initially focused on facultative energy expenditure [28]. Later  
118 definitions aimed at a broader effect, often with the main endpoint of increased endurance capacity  
119 [29]. Various compounds have in the meantime been tested in animal models, primarily based on the  
120 current knowledge about signaling pathways in exercise adaptation in skeletal muscle [27].  
121 Intriguingly, since at least some of these pathways are also engaged in caloric restriction, several  
122 compounds could constitute both exercise as well as caloric restriction “mimetics”. However, in the  
123 latter case, inhibitors of anabolic pathways, in particular of the mammalian target of rapamycin  
124 (mTOR) kinase pathway, seem to show most promise in terms of longevity. In animal models, also  
125 other signaling pathways involved in nutrient sensing such as the insulin-, insulin-like growth factor 1  
126 or growth hormone-triggered cascades were associated with modulations in lifespan [20,30]. Some  
127 examples for both classes of “mimetics” will be discussed in the following sections.

128

## 129 **2.1. Exercise “mimetics”**

130

131 Many substances for performance enhancement exist and are widely and illegally used as doping in  
132 sports, including steroids and other anabolic hormones such as growth hormone or insulin-like  
133 growth factor 1, or  $\beta$ 2 adrenoreceptor agonists [31,32]. However, most of these compounds have  
134 limited effects in the treatment of diseases. Moreover, when used in non-replacement therapies (as  
135 in sports), steroids, growth hormones and  $\beta$ 2 agonists can elicit massive, in some cases life-  
136 threatening side-effects. Therefore, alternative ways of mimicking exercise have to be investigated.  
137 Indeed, various other molecules have been linked to improved muscle function, endurance capacity,  
138 muscle mass and strength in experimental settings. For most of these putative exercise “mimetics”,  
139 mechanisms of action have been proposed: interestingly, those compounds that lead to an  
140 improvement in muscle endurance almost always activate signaling pathways centered on the  
141 peroxisome proliferator-activated receptor  $\gamma$  coactivator 1 $\alpha$  (PGC-1 $\alpha$ ) (Fig. 2). This transcriptional  
142 coregulator is one of the regulatory nexi of the endurance phenotype in muscle [12,33]. Accordingly,  
143 muscle-specific PGC-1 $\alpha$  transgenic mice exhibit a shift towards oxidative, high endurance muscle  
144 fibers, increased oxidative metabolism as well as improved fatigue resistance and endurance capacity  
145 [34]. Inversely, signs of pathological inactivity are observed in muscle-specific PGC-1 $\alpha$  knockout  
146 animals, including local and systemic inflammation and activity-induced fiber damage [35,36].  
147 Importantly however, mice with a muscle-specific ablation of PGC-1 $\alpha$  can at least in part still adapt to  
148 training indicating that alternative mechanisms can compensate for the absence of PGC-1 $\alpha$  [33].  
149 Some exercise “mimetics” could likewise circumvent muscle PGC-1 $\alpha$  in specific contexts, e.g. as  
150 shown for resveratrol [37].

151

### 152 **2.1.1. Resveratrol and SRT1720**

153

154 Resveratrol is a naturally occurring polyphenol primarily found in plants [38]. Resveratrol has  
155 pleiotropic properties and can act as an anti-inflammatory and antioxidant molecule, a  
156 phytoestrogen, an activator of the AMP-activated protein kinase (AMPK) and the ataxia-  
157 telangiectasia mutated kinase, an inhibitor of phosphodiesterases, of the F1-ATPase and of  
158 cyclooxygenase 1 as well as a modulator of the activity of complex I of the respiratory chain [38].  
159 Most prominently, resveratrol has been postulated as a direct activator of sirtuin 1 (SIRT1) [39] –  
160 however, this model of direct activation has been challenged [40] and alternative pathways of  
161 resveratrol-dependent indirect activation of SIRT1 proposed, e.g. through AMPK [41]. Similarly, the  
162 direct activation of other pharmacological SIRT1 modulators [42] has likewise been questioned, for  
163 example that of SRT1720 [40]. Direct and indirect activation of SIRT1 results in protein deacetylation  
164 of PGC-1 $\alpha$ , which is associated with higher transcriptional activity of this coactivator [43]. Moreover,  
165 the boost in cAMP levels upon resveratrol-mediated inhibition of phosphodiesterases not only  
166 directly activates SIRT1 in an NAD<sup>+</sup>-independent manner [44], but also promotes transcription of the  
167 PGC-1 $\alpha$  gene [45]. Thus, by engaging multiple signaling pathways, both resveratrol and SRT1720  
168 were shown to improve oxidative metabolism and endurance capacity in mice [46,47]. Of note, many

169 of the beneficial effects of resveratrol and SRT1720 on systemic metabolic parameters also occur in  
170 muscle-specific PGC-1 $\alpha$  knockout animals indicating redundant signaling pathways and/or  
171 engagement of PGC-1 $\alpha$  and other targets in non-muscle tissue to mediate these systemic effects  
172 [37]. Importantly, these observations were only reported in rodents fed a high fat-containing diet,  
173 which most likely suffer from impaired muscle endurance: it thus is unclear whether similar effects  
174 on exercise capacity would also be observed in mice on a regular diet. Moreover, the reported effect  
175 of resveratrol on mitochondrial biogenesis in skeletal muscle was not replicated in all studies to the  
176 same extent [37,48]. Then, in mice, resveratrol and SRT1720 exhibit an organ preference for the  
177 modulation of metabolic pathways by primarily affecting white adipose tissue and liver, respectively,  
178 implying different tissue bioavailability and/or molecular targets for these two compounds [37].  
179 Finally, and most alarmingly, resveratrol application in human exercise studies surprisingly blunted  
180 many aspects of training adaptation [49-51]. Even though the pathways mediating this unexpected  
181 detrimental effect of resveratrol on training in humans are not clear, similar findings have previously  
182 been reported for other antioxidants [52]. Thus, more studies are needed to resolve the molecular  
183 mechanisms and reveal whether resveratrol and SRT1720 really promote exercise-like effects in  
184 skeletal muscle of healthy mice and humans. Other aspects of resveratrol application are discussed in  
185 section 2.2.1.

186

### 187 **2.1.2. AMPK activators (e.g. AICAR and metformin)**

188

189 5-Aminoimidazole-4-carboxamide ribonucleotide (AICAR) is an intermediate metabolite of the  
190 inosine monophosphate biosynthesis pathway. As an analog of AMP, AICAR activates AMPK. This  
191 kinase is centrally involved in the response of skeletal muscle fibers to contraction and exercise [53].  
192 Accordingly, pharmacological activation of AMPK triggers many of the posttranslational and  
193 transcriptional adaptations to endurance training, collectively resulting in mice with a higher  
194 endurance capacity [29]. At least in part, this response is due to AMPK-dependent phosphorylation  
195 and transcriptional activation of the PGC-1 $\alpha$  protein and gene, respectively [54]. Metformin, one of  
196 the most widely used drugs in the treatment of type 2 diabetes, also activates AMPK [55]. However, a  
197 slight reduction in exercise-related parameters including  $VO_{2max}$  or exercise duration in healthy  
198 individuals and a blunting of the effects of training in prediabetic individuals have been reported [27].  
199 These negative effects of metformin on exercise parameters could stem from the metformin-  
200 dependent inhibition of complex I of mitochondrial oxidative phosphorylation, which by itself is  
201 sufficient to decrease exercise performance [27]. Therefore, non-selective activators of AMPK  
202 should be considered with caution as exercise “mimetics”. Moreover, the use of AICAR and other  
203 specific AMPK modulators might be hampered by poor bioavailability as well as the effect of long-  
204 term activation of AMPK to increase circulating levels of lactic and uric acid and promote a chronic  
205 catabolic state by inhibiting mTOR signaling [56].

206

### 207 **2.1.3. PPAR $\beta/\delta$ activators (e.g. GW1516)**

208



209 The levels of the peroxisome proliferator-activated receptor  $\beta/\delta$  (PPAR $\beta/\delta$ , NR1C2) in skeletal muscle  
210 are regulated by exercise and in turn, this nuclear receptor promotes the expression of genes  
211 encoding proteins involved in fatty acid oxidation and mitochondrial substrate metabolism [57]. This  
212 transcriptional control of exercise genes could be mediated by PPAR $\beta/\delta$  control of PGC-1 $\alpha$  expression  
213 [57]. Inversely, PGC-1 $\alpha$  can exert its potent effects on muscle transcription in the absence of  
214 PPAR $\beta/\delta$  [58] even though PGC-1 $\alpha$  coactivates PPAR $\beta/\delta$  in skeletal muscle [59]. GW1516, a synthetic  
215 ligand of PPAR $\beta/\delta$ , improves fatty acid oxidation in skeletal muscle. Moreover, while GW1516 is  
216 insufficient to improve endurance in untrained mice, pharmacological activation of PPAR $\beta/\delta$  boosts  
217 the effects of endurance exercise [29]. Importantly, concomitant application of AICAR and GW1516  
218 potentiates the effects of each individual compound on mitochondrial gene transcription and  
219 endurance capacity [29]. Therefore, activation of PPAR $\beta/\delta$  might be an option to further improve the  
220 effect of exercise or of other exercise “mimetics”. However, open questions about potential pro-  
221 tumorigenic effects of PPAR $\beta/\delta$  will have to be resolved before such activators can be used in  
222 humans [60].

223

#### 224 **2.1.4. ERR $\gamma$ agonists (e.g. GSK4716)**

225

226 The nuclear receptor estrogen-related receptor  $\gamma$  (ERR $\gamma$ , NR3B3) is a potent regulator of oxidative  
227 metabolism in skeletal muscle and other tissues. GSK4716, a synthetic ligand of ERR $\gamma$ , promotes an  
228 endurance-trained phenotype in mice concomitant with enhanced mitochondrial function,  
229 vascularization and a switch towards slow, oxidative muscle fibers [61]. Mechanistically,  
230 overexpression of ERR $\gamma$  in skeletal muscle in mice results in activation of AMPK and, somewhat  
231 surprisingly, no change in PGC-1 $\alpha$  transcript levels or protein acetylation [61]. Intriguingly however,  
232 short-term treatment of primary muscle cells with GSK4716 leads to a robust induction of PGC-1 $\alpha$   
233 gene transcription [62] revealing a discrepancy between acute and chronic activation of ERR $\gamma$  in  
234 ligand-treated muscle cells and transgenic animals, respectively. Once both proteins are activated,  
235 ERR $\gamma$  and PGC-1 $\alpha$  proteins interact to form a transcriptionally active complex in the regulation of  
236 metabolic target genes [63].

237

#### 238 **2.1.5. REV-ERB $\alpha$ agonists (e.g. SR9009)**

239

240 REV-ERB $\alpha$  (NR1D1) is a nuclear receptor with a dual role in the control of circadian rhythm and of  
241 metabolism [64]. In skeletal muscle, REV-ERB $\alpha$  activity controls mitochondrial biogenesis, autophagy  
242 and other processes that culminate in a higher endurance capacity in mice [65]. Mechanistically,  
243 muscle-specific ablation of REV-ERB $\alpha$  results in reduced activity of the AMPK-SIRT1-PGC-1 $\alpha$  signaling  
244 pathway. Inversely, these regulators of exercise adaptation are activated in muscle-specific REV-ERB $\alpha$   
245 overexpressing mice or animals treated with SR9009, a synthetic agonist of REV-ERB $\alpha$  [65].  
246 Moreover, PGC-1 $\alpha$  controls REV-ERB $\alpha$  expression by coactivating the retinoic acid receptor-related  
247 orphan receptor  $\alpha$  (NR1F1) [66]. Interestingly, application of SR9009 does not affect the muscle fiber-  
248 type distribution. Moreover, the effects of SR9009 on the circadian functions of REV-ERB $\alpha$  lead to a  
249 change in circadian behavior and circadian rhythm core regulatory genes in the hypothalamus [67].

250 The circadian expression pattern of various genes in the liver, skeletal muscle and fat is likewise  
251 affected [67]. Thus, the consequences of using SR9009 as an exercise “mimetic” in terms of circadian  
252 rhythms in humans will have to be carefully evaluated.

253

#### 254 **2.1.6. Resistance training “mimetics” (e.g. myostatin pathway inhibitors, selective androgen** 255 **receptor modulators and ursolic acid)**

256

257 Surprisingly, almost all of the currently described experimental exercise “mimetics” promote an  
258 endurance-trained state, in comparison to the relatively few candidates that would trigger a  
259 resistance training-like phenotype. At least in part, this might be due to the well-established  
260 endurance exercise training protocols using running wheels, treadmills or swimming as paradigms in  
261 contrast to the limited possibilities to perform resistance training in rodents. Accordingly, the design  
262 and application of the currently most promising candidates, myostatin pathway inhibitors and  
263 selective androgen receptor modulators (SARMs), were to a large extent based on data from other  
264 species. For example, naturally occurring mutations in the myostatin gene have been associated with  
265 exacerbated muscle mass in cattle and dog strains, which later could be replicated in transgenic mice  
266 [68]. However, several approaches to directly inhibit myostatin in human trials exhibited low clinical  
267 efficacy, potentially due to redundant signaling through the receptor for myostatin, the activin  
268 receptor type IIB (ActRIIB) [69]. Indeed, inhibitors of ActRIIB activation had a high therapeutic  
269 potential in animal models [70] and various forms are currently being tested in clinical trials [71].  
270 Similarly, SARMs are also being tested in clinical trials to prevent muscle wasting in different settings  
271 [72]. Steroidal and non-steroidal SARMs were designed to achieve partial activation of the androgen  
272 receptor (NR3C4), the main receptor for the androgenic steroids testosterone and  
273 dihydrotestosterone [73]. Androgenic steroids have potent anabolic effects on muscle tissue, but  
274 also exert androgenic actions, e.g. on the prostate gland. In order to act therapeutically while  
275 circumventing unwanted effects, SARMs stimulate the anabolic, but not the androgenic downstream  
276 programs controlled by the androgen receptor [72,73].

277 Ursolic acid was identified in a screen comparing the gene expression pattern of different human  
278 settings of muscle atrophy with those of the Connectivity map, a collection of global gene expression  
279 data of various compounds in different cell types [74]. In mice, ursolic acid not only blunts muscle  
280 atrophy in disease contexts, but also enhances muscle weight, fiber size and strength in healthy  
281 rodents [74]. More studies in mice and humans will reveal the robustness of ursolic acid as a  
282 resistance training exercise “mimetic”.

283

#### 284 **2.1.7. Other candidates (e.g. myokines, mitokines, epicatechin, celastrol)**

285

286 In addition to the compounds described above, various other agents have been linked to increased  
287 muscle function or at least a partial exercise-like effect that could potentially be exploited in patients.  
288 Skeletal muscle produces and secretes a number of auto-, para- or endocrine-acting messengers,  
289 referred to as myokines [12]. Based on their effector profiles, the use of some of these myokines

290 could be interesting to achieve specific therapeutic goals. For example, irisin and meteorin-like are  
291 two exercise-controlled myokines under the transcriptional control of the PGC-1 $\alpha$  transcript variants  
292 PGC-1 $\alpha$ 1 and PGC-1 $\alpha$ 4, respectively [75,76]. In turn, irisin induces PGC-1 $\alpha$  gene transcription in  
293 muscle tissue and other cell types [77]. Both irisin and meteorin-like have been linked to a browning  
294 of white adipose tissue and thereby, an increase in energy expenditure. Accordingly, injection of  
295 irisin into obese mice results in weight loss and improvement of glucose homeostasis [75].  
296 Intriguingly, the biosynthesis of the metabolite  $\beta$ -aminoisobutyric acid (BAIBA) is likewise under  
297 control of PGC-1 $\alpha$  in skeletal muscle and also induces the formation of beige adipocytes in white  
298 adipose depots [78]. Accordingly, serum levels of BAIBA in humans rise with exercise and are  
299 inversely correlated with risk factors for metabolic diseases [78]. Finally, a 16-amino acid peptide  
300 called mitochondrial open reading frame of the 12S rRNA-c (MOTS-c) is encoded in the mitochondrial  
301 genome, and hence called a mitokine, and primarily affects skeletal muscle by indirectly activating  
302 AMPK [79]. Thereby, energy expenditure is elevated and adiposity as well as insulin sensitivity in  
303 obese mice are improved. These examples of myo- and mitokines illustrate how such agents could  
304 potentially be used as partial exercise “mimetics” to activate energy expenditure in obese or type 2  
305 diabetic patients. Many other compounds might act similarly as summarized in a recent review by  
306 Philp and colleagues [80].

307 Finally, a myriad of other substances have been implicated in regulating muscle function, most of  
308 which still await replication and confirmation. For example, (-)-epicatechin and celastrol are two  
309 agents proposed to act in a mechanistically different manner compared to other endurance exercise  
310 mimetics. The flavonoid (-)-epicatechin boosts tissue vascularization by activating nitric oxide  
311 signaling resulting in higher levels of the vascular endothelial growth factor [81]. Together with an  
312 effect on mitochondrial function, (-)-epicatechin-regulated enhancement of vascularization leads to  
313 an improvement in endurance capacity in mice [81]. Of note, nitric oxide signaling is also a strong  
314 activator of PGC-1 $\alpha$  in muscle [82]. Celastrol, a plant metabolite, activates the heat shock factor 1  
315 (HSF1) and thereby engages the cellular response to heat, cold and related stress pathways [83].  
316 Intriguingly, celastrol promotes mitochondrial function and oxidative metabolism in skeletal muscle  
317 via an HSF1-PGC-1 $\alpha$  axis and thereby is sufficient to enhance endurance capacity, at least in high fat  
318 diet-fed mice [84]. In addition to transcriptional activation of PGC-1 $\alpha$  gene expression, the  
319 interaction of these two proteins suggests coactivation of HSF1 by PGC-1 $\alpha$  to be involved in the  
320 regulation of target gene expression [84].

321

## 322 **2.2. Caloric restriction “mimetics”**

323

324 Caloric restriction “mimetics” are compounds that elicit similar metabolic effects as caloric  
325 restriction, activate the corresponding stress pathways and cellular protection, and extend health-  
326 and lifespan [15,30]. In fact, the last property, longevity, often served as the primary endpoint in  
327 model organisms to identify mechanisms of caloric restriction. Not surprisingly, nutrient sensors and  
328 anabolic signaling pathways were found to be central in this process. Thus, SIRT1, AMPK and the  
329 mTOR signaling pathway belong to the main targets of caloric restriction “mimetics”, mechanistically  
330 similar to the compounds used as exercise “mimetics”. Accordingly, considerable overlap exists  
331 between the two categories.

332

### 333 **2.2.1. Resveratrol and nicotinamide riboside**

334

335 Resveratrol was initially described to prolong lifespan in lower organisms, an effect thought to be  
336 mediated by sirtuins [39]. In rodents, improved longevity due to resveratrol administration has been  
337 challenged and could be restricted to specific mouse strains [85]. Nevertheless, an improvement of  
338 various health parameters was observed in resveratrol-fed animals even in the absence of lifespan  
339 extension, importantly however only in mice fed a high fat diet [86]. Therefore, resveratrol  
340 administration is being tested in a number of clinical trials to improve healthspan in normal  
341 individuals, and cardiovascular, metabolic and a number of other pathologies in patients [87]. In  
342 healthy individuals, beneficial effects so far either were non-existent or minor [38,87], in exercise  
343 studies even detrimental (see Section 2.1.1). In patient studies, some small, but significant  
344 improvements were observed; however, collective interpretation of the results is hampered by small  
345 study size as well as differences in doses and application [38,87]. Thus, more studies are needed to  
346 resolve open questions about mechanisms, bioavailability, toxicity, dose and interactions [88,89].

347 Besides pharmacological means, SIRT1 activation can also be promoted by modulation of the co-  
348 substrate NAD<sup>+</sup> [90]. An increase in intracellular NAD<sup>+</sup> is for example achieved by inhibition of other  
349 NAD<sup>+</sup> consumers such as poly(ADP-ribose) polymerases or by providing precursor metabolites,  
350 including nicotinamide mononucleotide or nicotinamide riboside. The latter strategy circumvents  
351 potential side-effects of poly(ADP-ribose) polymerase inhibition on other cellular processes. Indeed,  
352 both approaches improved metabolic health in high fat-diet fed mice [90] while efficacy in humans  
353 remains largely unexplored.

354

### 355 **2.2.2. mTOR inhibitors (e.g. rapamycin)**

356

357 The central regulator of cell growth, mTOR, is a regulatory key step in anabolic processes, in  
358 particular protein synthesis, and accordingly, mTOR signaling is reduced in most caloric restriction  
359 studies [91]. Mechanistically, this reduction in mTOR activity stems from the absence of positive  
360 inputs via nutrients and insulin, as well as a potent inhibition by AMPK. Rapamycin, a natural  
361 compound that inhibits the activity of the mTOR complex 1 and, at higher doses and when  
362 administered chronically, also mTOR complex 2, is used clinically for immunosuppression and the  
363 treatment of certain types of cancer. Interestingly, rapamycin also exerts robust effects on longevity  
364 in various species, including genetically heterologous mice [85]. The effects of rapamycin on  
365 healthspan are more controversial, and potential side effects include dysregulation of glucose and  
366 insulin homeostasis, cataracts and obviously immunosuppression [30]. There however is evidence  
367 that these unwanted effects could be avoided with appropriate dose and timing of rapamycin  
368 administration as well as more specific mTOR complex 1 inhibitors [30]. Intriguingly, mTOR and PGC-  
369 1 $\alpha$  activities intersect in regards to the regulation of mitochondrial genes via the transcription factor  
370  $\text{ying yang 1}$  in muscle [92]. Whether this mTOR-PGC-1 $\alpha$  crosstalk is involved in the longevity effects  
371 of rapamycin remains unclear.

372

373 **2.2.3. Metformin**

374

375 Metformin is a biguanide drug that is widely used for the treatment of type 2 diabetes. Moreover,  
376 experimentally, metformin improves lifespan of mice, at least in some studies [93]. Which of the  
377 poly-pharmacological effects of metformin are responsible for this improvement is unclear:  
378 hypothetically, metformin-mediated activation of AMPK could result in inhibition of mTOR activity  
379 and thereby prolong survival. Curiously, the effect of metformin on lifespan differs dramatically in  
380 different species and doses of application [30]. The broad clinical usage of metformin allows  
381 epidemiological assessment of health- and survival in human patients and indeed, a beneficial effect  
382 of metformin on pathological parameters and survival has been reported in different studies even  
383 though in a recent meta-analysis, no significant overall mortality benefit was found [30].  
384 Nevertheless, large clinical trials are currently being designed to study the effect of metformin in  
385 elderly individuals [94].

386

387 **2.2.4. Other candidates (e.g. glycolysis inhibitors, mitochondrial uncouplers, fibroblast growth**  
388 **factor 21)**

389

390 Other strategies to elicit phenotypic effects that resemble caloric restriction have aimed at reducing  
391 the ingestion, uptake and metabolism of lipids and carbohydrates [30]. For example, inhibition of  
392 glycolysis using 2-deoxy-D-glucose elicits several cellular hallmarks of caloric restriction [30]. Due to  
393 toxic effects of 2-deoxy-D-glucose for example on cardiac tissue in rats, other inhibitors of glycolysis  
394 are currently being tested [30]. Historically, another approach was used to achieve increased energy  
395 expenditure and thereby reach a caloric restriction-like state: 2,4-dinitrophenol, a mitochondrial  
396 uncoupler, was widely used as weight loss drug in the 1930s in the United States [95]. However, due  
397 to the potential severe toxicity in terms of cataracts and lethal hyperthermia, the use of  
398 pharmacological uncouplers has been discontinued. Nevertheless, exploitation of the underlying  
399 principle in a more targeted manner is still being pursued by using endogenous regulators of  
400 mitochondrial uncoupling in brown and beige adipocytes (see Section 2.1.7). Analogous to the  
401 myokines described in this section, other endogenous hormones can also trigger systemic effects  
402 resembling caloric restriction. For example, the fibroblast growth factor 21 (FGF21) is a hormone that  
403 is primarily produced by and secreted from the liver upon starvation and in turn controls the  
404 metabolic adaptation of various tissues in the body [96]. Modulation of FGF21 has profound effects  
405 on metabolic parameters in animal models of diabetes and transgenic overexpression of FGF21  
406 extends the lifespan of mice [97]. Pharmacological and protein-analogs of FGF21 are currently being  
407 tested in different clinical trials [96].

408

409 **3. Limitations and caveats**

410

411 First, most of the “mimetics” discussed here have the capacity to improve metabolic and other  
412 parameters in pathological conditions or specific diets, but only few of these compounds affect  
413 healthy mice in a physiologically relevant manner. Accordingly, human trials, e.g. with resveratrol,  
414 resulted in a small amelioration in patients, but revealed little effects in healthy individuals.  
415 Therefore, the use of existing exercise and caloric restriction “mimetics” as prevention will have to be  
416 carefully evaluated. Second, it is unclear whether single compounds can elicit all of the complex local  
417 and systemic changes that are observed after exercise or caloric restriction. Pharmacological,  
418 physiological and economic arguments comparing drugs to training have very elegantly been  
419 described by Booth and Laye [16]. For example, exercise has an extremely high therapeutic index  
420 compared to drugs. Finally, even if the design of real exercise and caloric restriction “mimetics” was  
421 feasible, application in prevention and treatment might be hampered by unwanted effects. In many  
422 regards, muscle-specific PGC-1 $\alpha$  transgenic animals could serve as a model of a genetic exercise  
423 “mimetic” [34,98]. Despite the potent positive effects on exercise parameters, this and related  
424 mouse lines depict several limitations of inducing exercise-like effects in the absence of *bona fide*  
425 physical activity. For example, when fed a high fat-containing diet, PGC-1 $\alpha$  muscle transgenic animals  
426 exhibit an accelerated development of insulin resistance instead of the expected protection that is  
427 conferred by exercise [99]. Conceivably, this paradoxical observation is caused by the ability of PGC-  
428 1 $\alpha$  not only to promote catabolic, but also anabolic processes, including synthesis and storage of  
429 intramyocellular glycogen and lipids [100]. These adaptations are likewise expected in endurance  
430 training and underlie the so-called “athlete’s paradox”, the observation of intramyocellular lipid  
431 accumulation in endurance athletes and type 2 diabetic patients [101]. Muscle-specific  
432 overexpression of PGC-1 $\alpha$  (or a pharmacological exercise “mimetic”) in sedentary animals thus  
433 triggers lipid accumulation as part of the normal exercise response. This physiological process  
434 however is exacerbated by dietary lipids in a high fat diet and therefore promotes the development  
435 of insulin resistance [100]. In athletes, accumulation of intramyocellular lipids might not be  
436 detrimental due to the constant substrate turnover in contraction-recuperation cycles: accordingly,  
437 muscle-specific PGC-1 $\alpha$  transgenic mice on a high fat diet exhibit markedly improved insulin  
438 sensitivity with concomitant physical activity to an even higher extent compared to wild-type control  
439 animals [102]. These findings imply that in addition to the transcriptional and translational changes  
440 that are elicited by an exercise “mimetic”, other processes that are controlled by physical activity  
441 such as substrate turnover are required to achieve health benefits in certain contexts [103]. In fact,  
442 without changes in physical activity and diet, application of an exercise “mimetic” could thus be  
443 detrimental as observed in the high fat diet-fed, sedentary PGC-1 $\alpha$  muscle-specific transgenic mice.  
444 Furthermore, the amount of muscle PGC-1 $\alpha$  has to be carefully titrated to avoid unwanted effects as  
445 excessively high levels of PGC-1 $\alpha$  in cardiac and skeletal muscle result in severe pathologies in either  
446 tissue [33,104]. Finally, selectivity of pharmacological activation of exercise-controlled signaling  
447 pathways should be considered since PGC-1 $\alpha$ -mediated effects in liver and pancreas could for  
448 example outweigh the beneficial effects of muscle PGC-1 $\alpha$  in regulating systemic glucose  
449 homeostasis [104]. Thus, in many cases, partial exercise “mimetics” might be a safer and more  
450 efficacious approach to alleviate specific pathologies.

451 The same arguments in regard to exercise “mimetics” could likewise be made for caloric restriction  
452 “mimetics”. In addition, other caveats exist for this class of drugs: for example, exacerbated weight  
453 loss, alterations in the balance between mitochondrial activity, membrane potential and reactive  
454 oxygen species-production, dietary composition, the genetic background and other parameters can  
455 in certain contexts result in shortening of lifespan upon caloric restriction [18]. Moreover, at least

456 some proposed caloric restriction “mimetics” alter nutrient balance and thereby also uptake of  
457 vitamins. Finally, caloric restriction can also impair immune system function leading to delayed  
458 wound healing and higher susceptibility for infections [21].

459

#### 460 **4. Summary and conclusion**

461

462 Based on the many health benefits of exercise and diet, the concept of developing pharmacological  
463 agents that trigger similar phenotypic effects is highly attractive. However, at the moment, it is  
464 unclear if such an approach is feasible or even desirable. More research is required to better  
465 understand the molecular mechanisms that underlie cellular plasticity as well as the systemic cross-  
466 talk between organs and tissues in exercise or caloric restriction. Second, potential unwanted effects  
467 of exercise and caloric restriction “mimetics” have to be identified and confined. Third, the strategy  
468 to design partial instead of full exercise and caloric restriction “mimetics” might be more efficient to  
469 be used as drugs in specific pathological contexts. In any case, it is unlikely that such pharmacological  
470 approaches can be used without accompanying interventions based on actual physical activity and  
471 diet – thus, the economically appealing idea of a drug to be used without changes in life-style for  
472 weight loss and improved muscle function most likely will remain elusive. Similarly, since most  
473 beneficial effects in clinical trials so far were observed in patients and not in healthy individuals,  
474 exercise “mimetics” might have a limited potential for performance enhancement in athletes in  
475 which the respective systems are already activated. In conclusion, except for patients that have to  
476 overcome exercise intolerance, for example in a muscular dystrophy, “mimetic”-based  
477 pharmacological approaches will most likely not exceed the status of an adjuvant therapy besides  
478 *bona fide* life-style changes.

479

480

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482

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488

489



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782

783 **Figure legends**

784

785 **Figure 1. Common and distinct effects of exercise and caloric restriction.** Even though exercise and  
786 caloric restriction affect energy intake (at least in some individuals) and expenditure in a  
787 diametrically opposite manner, the shared regulation of a number of phenotypic changes in skeletal  
788 muscle and potentially other tissues could underlie the similar health benefits of both interventions.  
789 Importantly however, other effects, e.g. on muscle and cardiovascular function as well as body  
790 weight, are predominantly observed after exercise and caloric restriction, respectively.

791

792 **Figure 2. Molecular signaling of exercise and caloric restriction “mimetics” centered on PGC-1 $\alpha$ .**  
793 Proposed mechanisms of action of several exercise and caloric restriction “mimetics” are depicted. \*  
794 indicates coactivation of the respective transcription factors by PGC-1 $\alpha$ . See text for details and  
795 abbreviations.

796

797

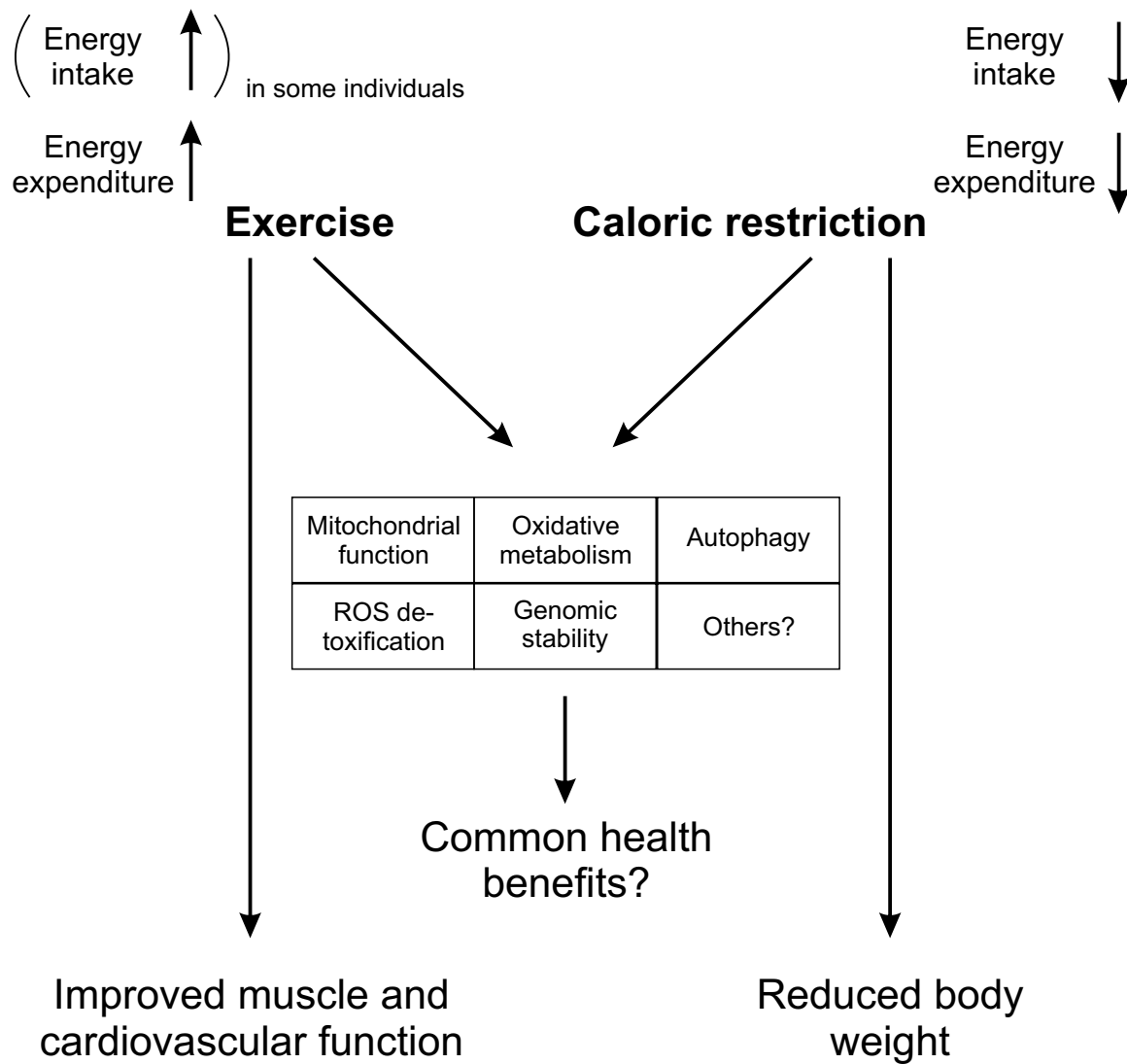


Figure 1



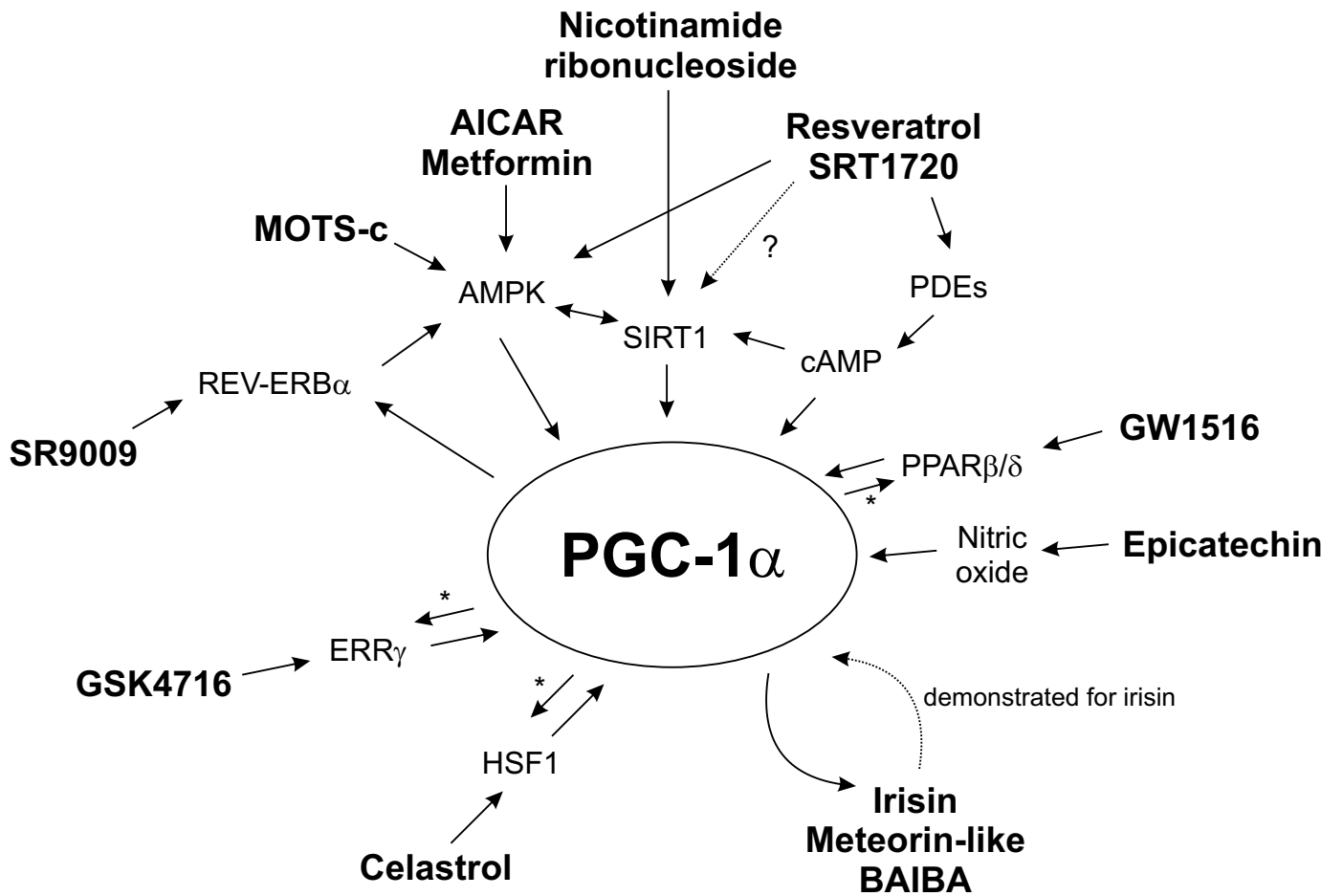


Figure 2

